

CHAPTER 05 - STATEMENTS OF ECONOMIC INTEREST

SUBCHAPTER 05A - FILING

30 NCAC 05A .0101 MANDATORY USE OF COMMISSION FORMS

The Commission's most current forms shall be used to file all Statements of Economic Interest ("SEI").

History Note: Authority G.S. 138A-10(a)(2); 138A-10(a)(4); 138A-10(a)(10); 138A-22(g);
Eff. January 1, 2011.

30 NCAC 05A .0102 SIGNATURE VERIFIES INFORMATION IS TRUE

An individual's signature on a Statement of Economic Interest constitutes that person's verification that all information contained in the Statement of Economic Interest and any documents incorporated by reference are true and complete.

History Note: Authority G.S. 138A-10(a)(2); 138A-10(a)(4); 138A-10(a)(10); 138A-22; 138A-24(c);
Eff. January 1, 2011.

30 NCAC 05A .0103 ELECTRONIC SIGNATURE

Signers of electronic documents may use any form of electronic signature permissible under G.S. 66-312(9), except that it shall not be a sound.

History Note: Authority G.S. 66-312(9); 138A-10(a)(2); 138A-10(a)(4); 138A-10(a)(10); 138A-22;
Eff. January 1, 2011.

30 NCAC 05A .0104 GENERAL SUBMISSION LOCATIONS AND METHODS

(a) Statements of Economic Interest shall be filed using one of the following methods:

- (1) Electronically via the Commission's website.
- (2) United States mail addressed to: State Ethics Commission, 1324 Mail Service Center, Raleigh, NC 27699-1324.
- (3) Designated delivery service authorized by G.S. 1A-1, Rule 4, addressed to: State Ethics Commission, 424 N. Blount St., Raleigh, NC 27601-1010 or any successive address published on the Commission's website.
- (4) Hand-delivery during regular business hours to the Commission's offices at 424 N. Blount St., Raleigh, NC 27601-1010, or any successive address published on the Commission's website.

(b) Statements of Economic Interest shall not be filed by methods other than authorized by this Rule, including scanning, e-mailing, or facsimile transmission.

History Note: Authority G.S. 138A-10(a)(2); 138A-10(a)(4); 138A-10(a)(10); 138A-22;
Eff. January 1, 2011.

30 NCAC 05A .0105 INCOMPLETE FORMS

Incomplete Statements of Economic Interests shall not be considered timely filed for the purpose of G.S. 138A-22.

History Note: Authority G.S. 138A-10(a)(2); 138A-10(a)(4); 138A-10(a)(10); 138A-22; 138A-24(c);
Eff. January 1, 2011.

30 NCAC 05A .0106 PROOF OF SUBMISSION

A person may obtain proof of submission of a filing to the Commission by:

- (1) Any means acceptable pursuant to G.S. 1A-1, Rules of Civil Procedure;
- (2) Requesting that the Commission return a file stamped copy and supplying a postage prepaid envelope or prepaid delivery service envelope; or
- (3) Requesting that the Commission date-stamp a copy at the time of hand-delivery.

History Note: Authority G.S. 1A-1; 138A-10(a)(2); 138A-10(a)(4); 138A-10(a)(10); 138A-22;
Eff. January 1, 2011.

30 NCAC 05A .0107 RECEIPT DATE

For the purpose of determining compliance with filing deadlines, the postmarked date on a Statement of Economic Interest filed by U.S. Mail shall be used. For electronic filing and commercial or hand-delivery, the receipt date is the actual date received by the Commission.

History Note: *Authority G.S. 138A-10(a)(2); 138A-10(a)(4); 138A-10(a)(10); 138A-22;*
Eff. January 1, 2011.